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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>1072-105.US</b> U.S. APPLICATION NO. (If known, see 37 CFR 1.55) <b>10/512023</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US03/39958</b>	INTERNATIONAL FILING DATE <b>16 Dec 2003</b>	PRIORITY DATE CLAIMED <b>25 Aug 2003</b>
TITLE OF INVENTION <p style="text-align: center;"><b>CLIP FASTENER</b></p>		
APPLICANT(S) FOR DO/EO/US <p style="text-align: center;"><b>John E. HAKALA</b></p>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>20. <input type="checkbox"/> Other items or information:</li> </ol>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.53) <div style="font-size: 1.5em; font-weight: bold;">10/512023</div>		INTERNATIONAL APPLICATION NO. PCT/US0339958		ATTORNEY'S DOCKET NUMBER 1072-105.US					
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....\$1110.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....\$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....\$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$100.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">CALCULATIONS</th> <th style="text-align: left;">PTO USE ONLY</th> </tr> <tr> <td style="height: 150px; vertical-align: bottom;">           \$ 790.00         </td> <td></td> </tr> </table>		CALCULATIONS	PTO USE ONLY	\$ 790.00	
CALCULATIONS	PTO USE ONLY								
\$ 790.00									
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$					
Total claims	31 - 20 =	11	X \$18.00	\$ 198.00					
Independent claims	3 - 3 =	0	X \$88.00	\$ 0.00					
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$300.00	\$ 0.00					
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 988.00					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$					
<b>SUBTOTAL =</b>				\$ 494.00					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00					
<b>TOTAL NATIONAL FEE =</b>				\$ 494.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$					
<b>TOTAL FEES ENCLOSED =</b>				\$ 494.00					
				Amount to be refunded:	\$				
				Amount to be charged:	\$				
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>494.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. Please acknowledge safe receipt by stamping and returning enclosed postcard. SEND ALL CORRESPONDENCE TO:									
Colin P. Abrahams 5850 Canoga Avenue, Suite 400 Woodland Hills, California 91367 Tel: (818)710-2788; Fax: (818)710-2798 Customer number: 23390			<div style="text-align: center;">           SIGNATURE          Colin P. Abrahams          NAME          32,393          REGISTRATION NUMBER       </div>						

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John E. Hakala	) Examiner: Not known
	)
Serial No.: To be assigned	) Art Unit: Not known
	)
Filing Date: Concurrent	) Re: 35 USC 371 Submission
	)
For: "Clip Fastener"	) Our Ref: 1072-105.US
	)
	) Date: October 19, 2004

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the documents for entering the U.S. National Phase in respect of PCT/US03/39958. The following documents are attached:

- (1) Transmittal letter, PTO-1390 (2 pages);
- (2) Application Data Sheet (2 pages);
- (3) Fee Transmittal Form (1 page);
- (4) Declaration and Power of Attorney (2 pages);
- (5) Check in the amount of \$494.00;
- (6) Return receipt postcard.

CONTINUED NEXT PAGE

October 19, 2004

Please acknowledge receipt hereof by stamping and returning the enclosed return postcard.

Respectfully submitted,



Colin P. Abrahams (Reg. No. 32,393)  
Attorney for the Applicant  
5850 Canoga Avenue, Suite 400  
Woodland Hills, California 91367  
Tel: (818)710-2788; Fax: (818)710-2798

Enclosed: As listed above

Certification under 37 CFR 1.10  
Express Mail" mailing number: ER 067996786 US  
Date of Deposit: October 19, 2004

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated and is addressed to the Commissioner of Patents, P O Box 1450, Alexandria, VA 22313-1450.



Colin P. Abrahams

# FEE TRANSMITTAL FORM

DT01 Rec'd PCT/P 10/512023 19 OCT 2004

Total amount of payment: \$494.00

Application Number : Not known  
 Filing Date : Concurrent  
 First Named Inventor : John E. Hakala  
 Examiner Name : Not known  
 Group Art Unit : Not known  
 Attorney Docket No. : 1072-105.US

## METHOD OF PAYMENT

Payment Enclosed:  
 ✓ Check for \$494.00

## FEE CALCULATION

### 1. BASIC FILING FEE

Utility filing fee	\$395.00
Design filing fee	\$
Plant filing fee	\$
Reissue filing fee	\$
Provisional filing fee	\$ 80.00
<b>Subtotal (1)</b>	<b>\$395.00</b>

### 2. EXTRA CLAIM FEES

Total: 31 - 20 = 11 x 9 =	\$ 99.00
Independent: 3 - 3 = 0 x 42 =	\$ 0.00
Multiple	\$
<b>Subtotal (2)</b>	<b>\$ 99.00</b>

### 3. ADDITIONAL FEES

Surcharge - late filing fee or oath	\$
Surcharge - late provisional filing fee	\$
Non-English specification	\$
Request for ex parte reexamination	\$
Requesting pub of SIR prior to Examiner action	\$
Requesting pub of SIR after Examiner action	\$
Extension for reply within first month	\$
Extension for reply within second month	\$
Extension for reply within third month	\$
Extension for reply within fourth month	\$
Extension for reply within fifth month	\$
Notice of Appeal	\$
Filing brief in support of appeal	\$
Request for oral hearing	\$
Petition to institute a public use proceeding	\$
Petition to revive - unavoidable	\$
Petition to revive - unintentional	\$
Utility issue fee (or reissue)	\$
Design issue fee	\$
Plant issue fee	\$
Petitions to the Commissioner	\$
Petitions related to provisional applications	\$
Submission of Information Disclosure Statement	\$
Recording each patent assignment per property	\$
Submission after final rejection (37CFR 1.129(a))	\$
Add'l invention examined (37 CFR 1.129(b))	\$
Request for Continued Examination	\$
Request for expedited exam - design application	\$
Other fee (specify)	\$

**Subtotal (3)** \$

Submitted by

Name: Colin P. Abrahams

Registration No. 32,393 (Attorney)

Telephone: 818 710-2788

Signature: Chasman

Date: October 19, 2004